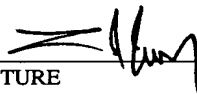| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE REV. 2/01T	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES	56816.1760
DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**	U.S. APPLICATION NO. (If known, see 37 CFR) 10/590819

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/CN2004/000346	(14 APR 2004) 14.04.2004	(25 FEB 2004) 25.02.2004

TITLE OF INVENTION:
VARIABLE CAPACITY MODULAR REFRIGERATING INSTALLATION BY FREQUENCY CONVERSION

APPLICANT(S) FOR DO/EO/US
Ningfan ZHAO

Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).
   a. ☐ is attached hereto (required only if not communicated by the International Bureau)
   b. ☒ has been communicated by the International Bureau.
   c. ☐ is not required, as the application was filed with the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
   a. ☒ is attached hereto.
   b. ☐ has been previously submitted under 35 U.S.C. 154 (d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).
   a. ☐ are attached hereto (required only if not communicated by the International Bureau).
   b. ☐ have been communicated by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the International Preliminary Examination Report and its annexes under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ Information Disclosure Statement under 37 CFR 1.97 and 1.98
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment amending application in accordance with PCT Article 28.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A Substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154 (d)(4).
19. ☐ A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).
20. ☒ Other items or information:
   a. ☒ INTERNATIONAL SEARCH REPORT
   b. ☒ COPY OF WO 2005/083334 A1
   c. ☒ APPLICATION DATA SHEET (ADS)

U.S. APPLICATION NO. (If known. See 37 CFR 1.5) *New Application* 10/590819	INTERNATIONAL APPLICATION NO. PCT/CN2004/000346	ATTY'S DOCKET NO.: 56816.1760

21. ☒ The following fees are submitted:		Calculation & PTO Use Only
Basic National Fee.. $300.00		$300.00
Examination Fee.. $200.00		$200.00
Search Fee.. $500.00		$500.00
TOTAL OF ABOVE CALCULATIONS = $1000.00		$1000.00

Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is $250.00 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
-100 =	/50 =		X $250.00	$0.00	

Surcharge of $130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				$0.00	

CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE		
Total Claims	17	- 20 =	0	x $50.00	$ 0.00	
Independent Claims	1	-3 =	0	x $200.00	$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+$360.00	$ 0.00	

TOTAL OF THE ABOVE CALCULATIONS =	$ 0.00	
☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		
SUBTOTAL =	$ 500.00	

Processing fee of $130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest priority date (37 CFR 1.492(f)).	$ 0.00	
TOTAL NATIONAL FEE =	$ 0.00	

Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property. +	$ 0.00	
TOTAL FEES ENCLOSED =	$500.00	
	Amount to be refunded:	$
	charged:	$

a. ☒ A check in the amount of $500.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of $ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2036.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
(firm address)
BAKER & HOSTETLER LLP
Washington Square, Suite 1100
1050 Connecticut Avenue, N.W.
Washington, D.C. 20036

DATED: August 25, 2006

SIGNATURE
Leo J. Jennings   Reg. No. 32,902